PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		10634937		
	Filing Date		2003-08-05		
INFORMATION DISCLOSURE	First Named Inventor Dale R		Koetke		
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2143		
(Not for Submission under or or it i.e.)	Examiner Name David		rid Armand Wiley		
•	Attorney Docket Number	ər	MS30325250.02 / 5216.1		

					U.S.I	PATENTS			Remove	
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue C)ate	Name of Pate of cited Docu	entee or Applicant ment	Releva	Columns,Lines wher int Passages or Rele s Appear	
/A.W./	1	6377978	B1	2002-04	4-23	Nguyen				
If you wisl	n to ac	id additional U.S. Pater	nt citatio	n inform	ation pl	ease click the	Add button.	l	Add	
			U.S.P	ATENT	APPLI	CATION PUBL	LICATIONS		Remove	
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publica Date	ntion	of cited Document			Columns,Lines wher int Passages or Rele s Appear	
/A.W./	1	20020093923	A1	2002-0	7-18	Bouet				
If you wisl	n to ac	ı dd additional U.S. Publi	shed Ap	plication	citation	n information p	lease click the Add	button	Add	
				FOREIG	SN PAT	ENT DOCUM	ENTS		Remove	
Examiner Initial*	Cite No	Foreign Document Number ³	Country Kind Code4		Kind Code ⁴	Publication Date	Name of Patented Applicant of cited Document	∍ or	Pages,Columns,Lines where Relevant Passages or Relevan Figures Appear	T5
	1									
If you wisl	n to ac	 dd additional Foreign Pa	atent Do	cument	citation	information pl	ease click the Add	button	Add	.L
			NON	I-PATEI	NT LITE	RATURE DO	CUMENTS		Remove	
Examiner Initials*	Cite No	Include name of the ad (book, magazine, journ publisher, city and/or of	nal, seria	al, symp	osium,	catalog, etc), c				T 5

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		10634937		
Filing Date		2003-08-05		
First Named Inventor	Dale	Koetke		
Art Unit		2143		
Examiner Name	David	d Armand Wiley		
Attorney Docket Number		MS30325250.02 / 5216.1		

/A.W./	1	IRRELL, ET AL., Implementing Remote Procedure Calls, ACM Transactions on Computer Systems, Vol. 2, No. 1, 1 pp., February 1984.							
/A.W./	2	RINIVASAN, R., RPC: Remote Procedure Call Protocol Specification Version 2, 19 pp., August 1995, Sun dicrosystems, Mountain View, California.							
If you wisl	h to ac	dd additional non-patent literature document citation information p	lease click the Add t	outton Add					
		EXAMINER SIGNATURE							
Examiner	Signa	ature /Adam Weintrop/	Date Considered	/Adam Weintrop/					
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									
¹ See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.									

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		10634937				
Filing Date		2003-08-05				
First Named Inventor	Dale	Dale Koetke				
Art Unit		2143				
Examiner Name	David	Armand Wiley				
Attorney Docket Number		MS30325250.02 / 5216.1				

		CERTIFICATION	STATEMENT						
Plea	ase see 37 CFR 1	.97 and 1.98 to make the appropriate selecti	on(s):						
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).								
OR	1								
✓	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).								
	See attached ce	rtification statement.							
	Fee set forth in 3	37 CFR 1.17 (p) has been submitted herewith	n.						
V	None								
	ignature of the ap n of the signature.	SIGNA' plicant or representative is required in accor		8. Please see CFR 1.4(d) for the					
Sigr	nature	/Brian P. Klein/	Date (YYYY-MM-DD)	2006-06-08					
Nan	ne/Print	Brian P. Klein	Registration Number	44837					

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act
 (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the
 Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
 - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE	Application Number		10634937	
	Filing Date		2003-08-05	
	First Named Inventor Dale F		Koetke	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2143	
(Not for Submission under 67 67 to 1.00)	Examiner Name	David	d Armand Wiley	
	Attorney Docket Number	er	MS#303250.02 (5216.1)	

		***************************************			U.S.I	PATENTS			Remove	
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue C)ate	of sited Document			,Columns,Lines where ant Passages or Relev s Appear	
	1									
If you wis	n to ac	dd additional U.S. Pater			•				Add	
		<u> </u>	U.S.P	ATENT	APPLIC	CATION PUBI	LICATIONS		Remove	
Examiner Initial*	Cite No	· Publication Number	Kind Code ¹	Publica Date	ition	Name of Patentee of Applicant Relev			Columns,Lines where ant Passages or Releves Appear	
	1									
If you wis	h to ac	dd additional U.S. Publi	shed Ap	plication	citation	n information p	lease click the Ade	d button	Add	
				FOREIG	ON PAT	ENT DOCUM	ENTS		Remove	
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² j		Kind Code ⁴	Publication Date	Name of Patente Applicant of cited Document	9 Or \ 	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5
/A.W./	1	2329891	CA		A1	2002-06-29	Subsecond Technology Inc.			
/A.W./	2	94/13086	wo		A1	1994-06-09	Legent Corporation			
/A.W./	3	00/57612	wo		A2	2000-09-28 Webxi				

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Filing Date 2003-08-05 First Named Inventor Dale Koetke Art Unit 2143 Examiner Name David Armand Wiley

10634937

Application Number

					Attorn	ey Doc	ket Number	•	MS#303250.02 (5216.1)	
,											
	4	02/21	749	wo		A2	2002-03-14		Plumtree Software		
If you wis	n to ac	dd addi	tional Foreign Pa	atent Doc	ument	citation	information	ple	ase click the Add buttor	Add	
				NON-	PATEN	NT LITE	RATURE D	ОС	UMENTS	Remove	
Examiner Initials*	Cite No	(book	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.								T 5
/A.W./	1	SATYANARAYANAN, ET AL., THE RPC2 RUNTIME SYSTEM (MANUAL), May 2000, pp. 37-62, URL:http://www.coda.cs.cmu.edu/doc/ps/rpc2_manual.ps.gz., XP-002282906.									
If you wis	n to ac	dd addi	tional non-paten	t literatur	e docur	ment cit	ation inform	atio	n please click the Add t	outton Add	
					EX	AMINE	R SIGNATU	IRE			
Examiner	Signa	ture	/Adam Wei	ntrop/					Date Considered	05/22/2007	
									rmance with MPEP 609 ith next communication		a
Standard ST	¹ .3). ³ Fourment	or Japai by the a	nese patent documer	nts, the indi	cation of	the year	of the reign of	he E	office that issued the docume imperor must precede the ser ard ST,16 if possible. ⁵ Applic	rial number of the patent of	locument.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		10634937			
Filing Date		2003-08-05			
First Named Inventor	Dale	Dale Koetke			
Art Unit		2143			
Examiner Name	David	Armand Wiley			
Attorney Docket Number		MS#303250.02 (5216.1)			

		CERTIFICAT	ION STATEMENT						
Plea	ase see 37 CFR 1	I.97 and 1.98 to make the appropriate sel	ection(s):						
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).								
OR	1								
	foreign patent o after making rea any individual d	f information contained in the information iffice in a counterpart foreign application asonable inquiry, no item of information clesignated in 37 CFR 1.56(c) more than 37 CFR 1.97(e)(2).	and, to the knowledge of the ontained in the information d	ne person signing the certification isclosure statement was known to					
П	See attached ce	ertification statement.	•						
	Fee set forth in 3	37 CFR 1.17 (p) has been submitted here	with.						
$ \mathbf{V} $	None								
۸ -	ianatura of the or		NATURE	19. Diagna and CED 4. 4/d) for the					
	n of the signature.	oplicant or representative is required in ac	cordance with CFR 1.33, 10.	To. Please see CFR 1.4(d) for the					
Sigr	nature	/Brian P. Klein/	Date (YYYY-MM-DD)	2006-08-09					
Nan	ne/Print	Brian P. Klein	Registration Number	44837	_				
This	s collection of info	rmation is required by 37 CFR 1 97 and 1	98 The information is requi	red to obtain or retain a benefit by the	ne.				

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act
 (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the
 Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
 - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.